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## Structure and adsorption properties of MoO<sub>3</sub>: insights from periodic density functional calculations

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**Abstract** Structure and electronic properties of MoO<sub>3</sub> bulk and the (010) surface, as well as molecular adsorption of water on the (010) surface are investigated using periodic boundary density functional calculations. The bulk structure is calculated to be in good agreement with experiment. The structure and electronic properties of the (010) surface are confirmed to be very similar to those of the bulk. The terminal oxygen in both the bulk and the (010) surface is the least ionic among the three types of lattice oxygens. This study shows that the molecular adsorption of H<sub>2</sub>O hardly takes place at the asymmetric and symmetric oxygens, but occurs at the terminal oxygen of the (010) surface. The results of the H<sub>2</sub>O adsorption on the (010) at 1 and 0.5 monolayer coverages are interpreted based on charge-transfer interactions between the surface and H<sub>2</sub>O species, and provide key information about the structural and energetic properties, in which each stable adsorption structure is suggested to orient on the surface via hydrogen bonding. These results also provide novel model systems for understanding the structure and adsorption states of MoO<sub>3</sub>.

**Keywords** MoO<sub>3</sub> bulk · MoO<sub>3</sub> surface · Molybdenum trioxide · Adsorption · Density functional calculations

### Introduction

Molybdenum trioxide ( $\alpha$ -MoO<sub>3</sub>) is one of the most important active compounds in catalysts for partial oxidation of hydrocarbons and for selective catalytic reduction (SCR) of NO<sub>x</sub> by NH<sub>3</sub>. In general, its lattice oxygens

take part in the reactions, and the catalytic activity of MoO<sub>3</sub> depends mainly on the activity of the lattice oxygens. [1, 2] It is well known that three kinds of structurally different lattice oxygens in MoO<sub>3</sub> exist, i.e., terminal oxygen (singly coordinated, O<sub>1</sub>), asymmetric bridging oxygen (doubly coordinated, O<sub>2</sub>), and symmetric bridging oxygen (triply coordinated, O<sub>3</sub>). Therefore, it is crucial to understand the chemical nature of the distinct oxygen species in order to clarify the activity of MoO<sub>3</sub>. Much like V<sub>2</sub>O<sub>5</sub>, MoO<sub>3</sub> has an orthorhombic layered structure, with each layer comprising two interleaved planes of MoO<sub>6</sub> octahedra. The layers are parallel to the (010) crystal plane, and therefore the (010) plane is the most exposed and the thermodynamically most stable, where only O ions are exposed on the surface. [3]

So far, many experimental studies with respect to the structural and reactive properties of MoO<sub>3</sub> have been carried out, but the number of surface lattice oxygens that are active sites in the course of the catalysis has rarely been determined. [1, 2, 4] On the other hand, in contrast to the numerous experimental studies, theoretical investigations on this subject are relatively few. The structure and electronic properties of the MoO<sub>3</sub> bulk were investigated by means of ab initio Hartree–Fock (HF) techniques. [5, 6] It was reported that the nature of the Mo–O interaction changed considerably with the equilibrium bond distance, and varied from strongly covalent for the shortest bond to a predominantly ionic interaction for the longest bonds in the MoO<sub>6</sub> octahedron, and a weak attractive Coulombic force was active between adjacent layers. Molecular adsorption of H<sub>2</sub>O on the (100) surface was studied by the ab initio HF method. It was found that H<sub>2</sub>O molecularly adsorbed on the fivefold Mo atom (Lewis acid) of the surface mainly by electrostatic interaction. [5] However, adsorption on the surface lattice oxygens (Lewis base) has not been examined.

Hermann and coworkers [7, 8, 9, 10] investigated electronic properties of the clean (100) and (010) surfaces, and adsorption of H atom on the (010) and C<sub>3</sub>H<sub>5</sub> (allyl) on the (100) and (010) surfaces using ab initio densi-

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ty functional theory (DFT) calculations with a cluster approach. They observed that the terminal oxygen experienced the smallest negative charge, the asymmetric bridging oxygen was more negative, and the symmetric bridging oxygen became most negative. H adsorbed at all oxygen sites, but binding was strongest at the terminal site of the (010). The results of allyl adsorption on the (010) and (100) surfaces suggested that a starting point in the allyl to acrolein oxidation process should be on the (010) but not on the (100) surface. On the (010) surface, the allyl bound most strongly above an asymmetric bridging oxygen site with the adsorbate plane perpendicular to the surface. Chen et al. [11, 12] studied the clean and H-covered (010) surface and methyl reaction on the (010) using DFT pseudopotential calculations. They reported that the terminal oxygen exhibited covalent bonding to Mo that was stronger than each of the two bridging oxygens, and H was most strongly adsorbed over the terminal oxygen. When the coverage of CH<sub>3</sub> was 0.5 monolayer, methoxy was formed over the bridging oxygens; while the coverage reached 1, CH<sub>3</sub> was not stable and decomposed.

Using a semiempirical ASED-MO technique based on the traditional extended Hückel theory, Irigoyen et al. [13] studied the methane oxidation reaction on the (100) surface, where different sequences and sites for H-abstraction from methane were explored on layers exposing Mo or O atoms. They found that the process appeared to be endothermic and formation of oxygenated products was also addressed. They also studied the homolytic and heterolytic mechanisms of the CH<sub>3</sub>- and CH<sub>2</sub>-oxidation reactions on the (100) surface. [14] In addition, first principles calculations at HF, MP2 and B3LYP were performed to investigate electronic structure of molybdenum oxide clusters. [15]

It has been known for many years that the interaction of water molecules with metal oxide surfaces has important consequences for their catalytic behaviors, [16] since usually catalysts are exposed under the ambient conditions, and water exists in both reactants and products. In particular, water is always formed in selective oxidation reactions, and the role of the H<sub>2</sub>O in these reactions has not yet been well investigated. [2] Moreover, it has not been well clarified which lattice oxygens of MoO<sub>3</sub> act as the active site in catalytic reactions. [1, 2, 4, 17] To the best of our knowledge, very few studies of H<sub>2</sub>O adsorption on the catalytically important MoO<sub>3</sub>(010) surface have been carried out so far. Towards a better understanding with respect to these issues, we have performed periodic first principles DFT calculations to investigate the structure and electronic properties of both MoO<sub>3</sub> bulk and the (010) surface, followed by investigating the adsorption properties of H<sub>2</sub>O molecule on the (010) surface.

## Methods

The periodic DFT calculations were performed using the CASTEP [18] and DSolid [19] codes. Our earlier studies of V<sub>2</sub>O<sub>5</sub> bulk and the clean (010) surface, and adsorption of H atom and small molecules on the V<sub>2</sub>O<sub>5</sub>(010) surface [20, 21, 22, 23, 24] have shown that these methodologies are feasible and reliable, and they get rid of the restriction of the cluster approach.

The geometries of MoO<sub>3</sub> bulk and (010) surface, as well as H<sub>2</sub>O adsorption systems are optimized by CASTEP, [18] which uses a conjugated gradient technique in a direct minimization of the Kohn–Sham energy functional and employs pseudopotentials to represent core electrons. The basis set used consisted of plane wave functions. In this approach Hellmann–Feynman forces on ions can be evaluated easily, and therefore geometry optimization can be performed to get stable structures. Exchange and correlation effects are included within the generalized gradient approximation (GGA) of Perdew and Wang. Gradient-corrected energies are computed self-consistently. A plane wave cutoff energy of 560 eV is used for which molybdenum and oxygen pseudopotentials are well converged. For hydrogen, its pure potential is used.

DSolid [19] within the Kohn–Sham formalism, [25] was used to perform Mulliken population analysis. A non-local type functional of PGX/P91 (Perdew 1991 exchange with Perdew 1991 correlation [26]) was employed. Molecular orbitals are expanded into a set of numerical atomic orbitals by double-numerical basis functions together with polarization functions (DNP). The DNP is comparable in quality to Pople’s split-valence 6-31G\*\* basis set and usually yields the most reliable results. [27, 28, 29] To reduce the necessary CPU time, the core orbitals of Mo and O atoms were frozen.

Our approach is as follows: CASTEP is used to optimize the geometries of MoO<sub>3</sub> bulk and (010) surface, as well as water adsorption systems at the GGA level, and then electronic structure calculations with respect to the equilibrium geometries obtained by CASTEP are performed using DSolid at the GGA. The adsorption energy ( $E_{\text{ads}}$ ) is calculated according to the expression

$$E_{\text{ads}} = E_{\text{adsorbate/substrate}} - (E_{\text{adsorbate}} + E_{\text{substrate}})$$

where  $E_{\text{adsorbate/substrate}}$  represents the total energy of the adsorbate/substrate system,  $E_{\text{adsorbate}}$  and  $E_{\text{substrate}}$  are the total energies of the isolated adsorbate and clean substrate, respectively. A negative adsorption energy corresponds to a stable adsorption system.

All models used in the current study have periodic boundaries. A unit cell consisting of four MoO<sub>3</sub> units was used to model the bulk structure. Since experimental investigation has revealed that catalytic reactions, for example the allyl to acrolein conversion, take place on MoO<sub>3</sub>(010) but not on the (100) surfaces, [30] our studies to understand catalysis on MoO<sub>3</sub> have concentrated on the (010) surface. [3] Therefore, the MoO<sub>3</sub>(010) surface was chosen to study the adsorption properties, which are strongly related to the catalytic properties. In

addition, owing to the rather weak interaction between layers in  $\text{MoO}_3$ , the weak  $\text{Mo}\cdots\text{O}$  bond is easily broken to form the (010) surface, and hence the (010) is the most stable and similar to the bulk structure. [3] Therefore, the (010) surface was modeled by a periodic boundary slab containing a single layer of  $\text{MoO}_3$ . To study water adsorption on the lattice oxygens at different coverages and the influence of local chemical environment of the adsorption site on the adsorption properties, three kinds of models of the (010) surface with different sizes and shapes were adopted for the calculations of the adsorption systems.

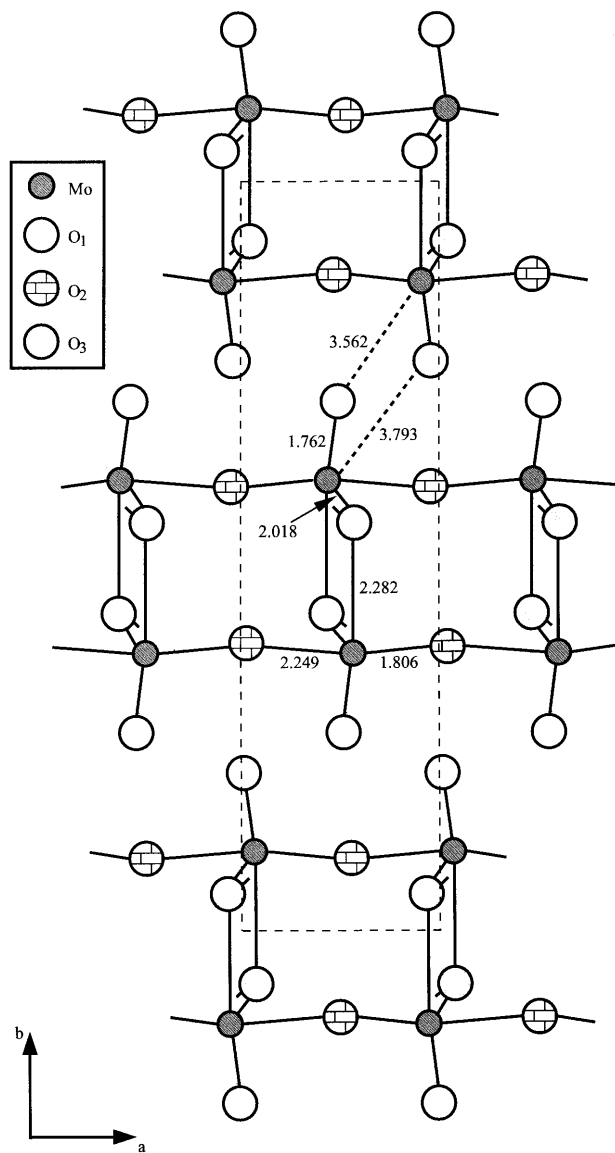
## Results and discussion

### $\text{MoO}_3$ bulk

$\text{MoO}_3$  bulk with its layered structure is shown in Fig 1. First of all, the bulk comprising four  $\text{MoO}_3$  units is optimized, relaxing both the lattice constants and atomic coordinates. The equilibrium structure obtained is illustrated in Fig 1, and the structural parameters of the lattice constants and bond lengths are tabulated in Tables 1 and 2, respectively. The calculated lattice constants are very close to the experimental values, [31] and deviations of  $a$ ,  $b$  and  $c$  compared with experimental data [31] are 1.89%, 0.18% and 1.11%. Further, our calculated equilibrium bond lengths of various  $\text{Mo}-\text{O}$  bonds including van der Waals bonds of the  $\text{Mo}\cdots\text{O}_1$  shown in Table 2 are also in agreement with experimental values. [31] For example, the bond lengths of the  $\text{Mo}\cdots\text{O}_1$  are calculated to be 3.562 Å and 3.793 Å, in good agreement with experimental results of 3.526 Å and 3.794 Å. [31] All these agreements indicate that the methodologies used in the present study are effective for reproducing the  $\text{MoO}_3$  crystal structure.

Our calculated band gap of bulk  $\text{MoO}_3$  is 1.27 eV less than the experimental value of 3.66 eV, [32] and the binding energy obtained for the bulk is  $-2.798$  Ry per unit cell. Thus, the small band gap is due to the DFT feature that often underestimates the band gap. A Mulliken

population analysis gives results (see Table 3) consistent with previous studies. [5, 6] It is observed that the terminal oxygens are least ionic with charges of  $-0.430$ , which is very close to the value of  $-0.44$  calculated by HF. [6] The doubly coordinated oxygens are ionic, while the triply coordinated become slightly more ionic. Charges on the lattice oxygen atoms decrease in the order:  $q(\text{O}_3) > q(\text{O}_2) > q(\text{O}_1)$ . Therefore, the highest coordinated oxygen atom bears the largest charge.



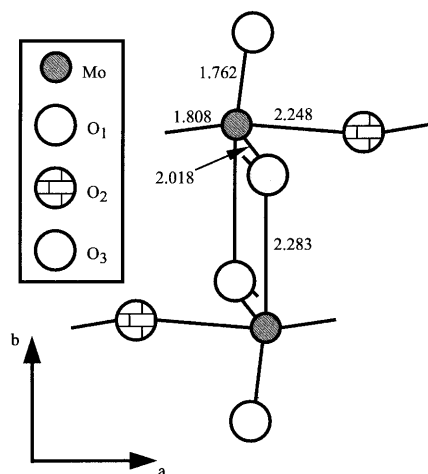
**Fig. 1** Optimized  $\text{Mo}-\text{O}$  bond lengths (Å) in  $\text{MoO}_3$  bulk. The broken lines indicate the unit cell projected onto the  $(a, b)$  plane

**Table 1** Calculated lattice constants (Å) of  $\text{MoO}_3$  bulk and (010) surface

Lattice constants		$a$ (Å)	$b$ (Å)	$c$ (Å)
Calc.	Bulk	4.038	13.880	3.737
	Surface	4.039	–	3.738
Expt. [31]	Bulk	3.963	13.855	3.696

**Table 2** Calculated bond lengths (Å) of  $\text{Mo}-\text{O}$  present in  $\text{MoO}_3$  bulk and (010) surface

Bonds		$\text{Mo}=\text{O}_1$	$\text{Mo}-\text{O}_2$	$\text{Mo}-\text{O}_2$	$\text{Mo}-\text{O}_3$	$\text{Mo}-\text{O}_3$	$\text{Mo}-\text{O}_3$	$\text{Mo}\cdots\text{O}_1$	$\text{Mo}\cdots\text{O}_1$
Calc.	Bulk	1.762	1.806	2.249	2.018	2.018	2.282	3.562	3.793
	Surface	1.762	1.808	2.248	2.018	2.018	2.283	–	–
Expt. [31]	Bulk	1.678	1.683	2.303	2.025	2.025	2.312	3.526	3.794



**Fig. 2** Optimized Mo–O bond lengths (Å) in MoO<sub>3</sub>(010) surface

**Table 3** Mulliken charges of all the atoms in MoO<sub>3</sub> bulk and (010) surface

Atoms	Mo	O <sub>1</sub>	O <sub>2</sub>	O <sub>3</sub>
Bulk	+1.857	−0.430	−0.712	−0.715
Surface	+1.764	−0.332	−0.720	−0.712

### MoO<sub>3</sub> (010) surface

As described in the methods section, the interlayer interaction is so weak that MoO<sub>3</sub> cleaves easily along the (010) plane. Since only the weak bonds are broken, it is assumed that the remaining bonds are not modified such that the (010) surface essentially remains identical to a parallel bulk plane. [3] In this study, a clean MoO<sub>3</sub>(010) surface was modeled as a slab composed of two MoO<sub>3</sub> units with a periodic boundary (see Fig 2), where full relaxations of the lattice constants (*a* and *c*) and atomic coordinates were carried out. Adjacent slabs were separated by a vacuum region with a thickness of more than 8 Å. At such a distance, interaction between the neighboring slabs is tiny.

The lattice constants of *a* and *c* were calculated to be 4.039 Å and 3.738 Å (see Table 1), almost identical to those of the bulk (the difference is only 0.001 Å). Again, all bond lengths of the Mo–O present in the (010) surface are extremely close to those in the bulk; the difference is at most 0.002 Å, as listed in Table 2. Essentially, charges on atoms on the MoO<sub>3</sub>(010) surface are also very close to those of the bulk (Table 3). All these facts suggest that the MoO<sub>3</sub>(010) surface and bulk have very similar geometric and electronic structures, consistent with the experimental finding. [3] The calculated charges show that the O<sub>1</sub> is the least ionic among all the surface oxygens, while the O<sub>2</sub> and O<sub>3</sub> atoms are more ionic than the O<sub>1</sub> and retain almost the same charges as in the case of the bulk. It is observed that charges on both the Mo and O<sub>1</sub> atoms in the (010) are considerably decreased by ca. 0.1 compared to those in the bulk, clearly indicating

that the (010) surface is reduced due to the existence of only short Mo–O bonds on the surface. Since the longest Mo···O bonds that connect the neighboring MoO<sub>3</sub> layers are broken when the (010) surface is formed, charge redistribution takes place and this process leads to charge transfer mainly between the terminated O<sub>1</sub> and the Mo atoms. In contrast, the charge redistribution is very weak for the V<sub>2</sub>O<sub>5</sub>(010) surface, since the largest difference of atomic charges between V<sub>2</sub>O<sub>5</sub> bulk and (010) surface is less than 0.04. [20]

The band gap of the (010) surface is calculated to be 1.27 eV, almost identical to that of the bulk (1.27 eV), and the binding energy is −2.794 Ry per cell consisting of four MoO<sub>3</sub> units, very close to the bulk value (−2.798 Ry). These results also support the above speculation of the similarity between the (010) surface and the bulk. As mentioned above, for both V<sub>2</sub>O<sub>5</sub> and MoO<sub>3</sub> the (010) surfaces are the most exposed and the most stable, but they exhibit considerably different structural and chemical properties. Owing to the existence of the long V···O bond, V<sub>2</sub>O<sub>5</sub> exposes several naked vanadiums that are chemically active as Lewis acid sites on the (010) surface. On the MoO<sub>3</sub>(010) surface, however, there exist only short Mo–O bonds, indicating that the MoO<sub>3</sub> surface shows somewhat reduced activity relative to the V<sub>2</sub>O<sub>5</sub>(010). Probably this discrepancy gives rise to the difference in adsorption and catalytic properties.

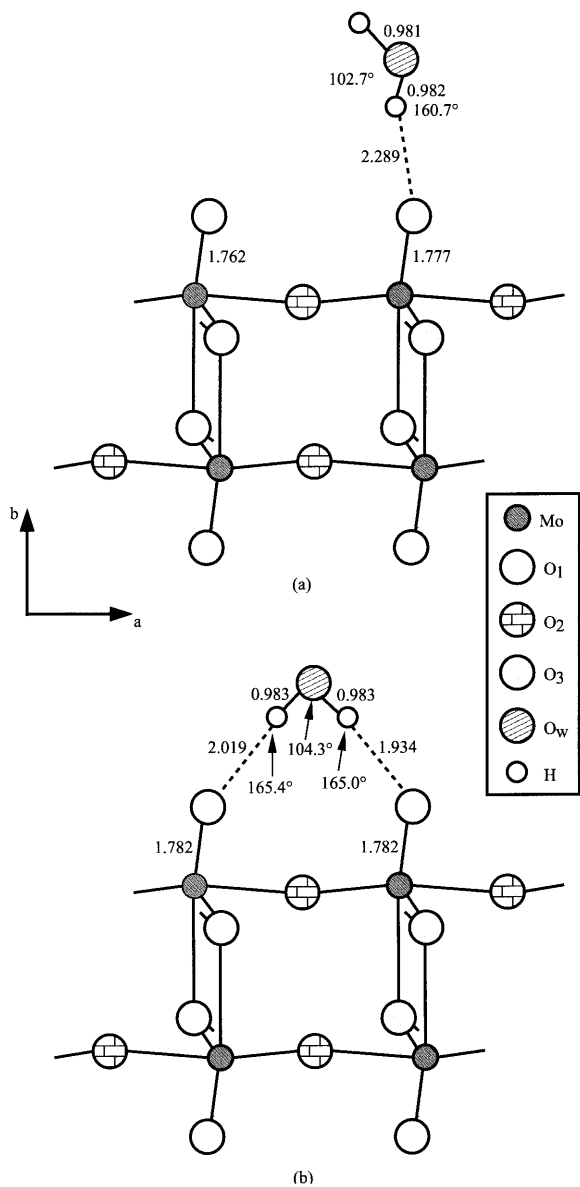
### Water adsorption on the (010) surface

H<sub>2</sub>O adsorption on MoO<sub>3</sub>(010) surface may be considered as a Lewis acid–base reaction. As a consequence, H atoms (Lewis acid) of the water molecule are able to interact with the surface oxygens (Lewis base). Thus, hydrogen bonding may be formed by the interaction between the H atoms and the surface oxygens. Although the water molecule has a large dipole moment and lone-pair electrons on its own oxygen, a coordination interaction hardly takes place on MoO<sub>3</sub>(010) surface since there is no exposed Mo atom (Lewis acid site) on the stoichiometric surface. To investigate water adsorption on the lattice oxygens of the (010) surface at 1 and 0.5 monolayer coverages and the influence of the local environment of the adsorption site on adsorption states, we adopt the following models with different size and shape:

- Model A: single supercell of the (010) surface composed of two MoO<sub>3</sub> units (refer to Fig 2)
- Model B: doubling model A along the *a*-axis direction (four MoO<sub>3</sub> units, refer to Fig 3)
- Model C: doubling model A along the *c*-axis direction (four MoO<sub>3</sub> units, refer to Fig 4)

### Model A

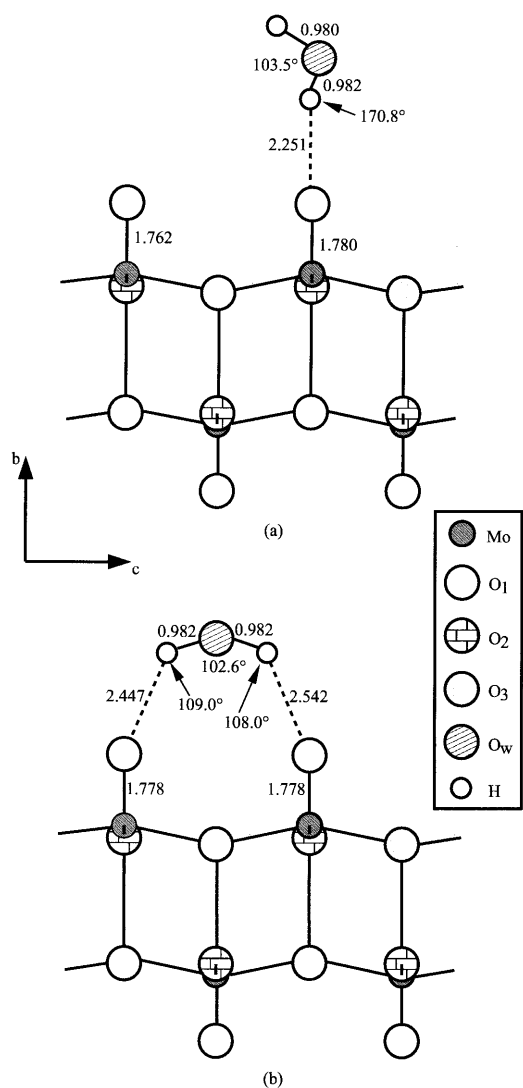
When a water molecule approaches the O<sub>1</sub> site (i.e. coverage of H<sub>2</sub>O on the surface is 1 monolayer), molecular



**Fig. 3** Equilibrium interatomic distances (Å) and bond angles (deg) regarding the  $\text{H}_2\text{O}$  adsorption on the terminal oxygen(s) of  $\text{MoO}_3(010)$  surface of model B with the orientations of (a) on-top and (b) bridging.  $\text{O}_w$  designates the oxygen atom of the  $\text{H}_2\text{O}$  species

adsorption takes place. The corresponding adsorption energy is calculated to be  $-6.83 \text{ kcal mol}^{-1}$  (Table 4), indicating that the adsorption is energetically favorable and interaction between the adsorbate and substrate is rather strong. The equilibrium geometry obtained is shown in Fig 5, and the selected interatomic distances and bond angles are given in Table 5. The equilibrium geometry shows that the adsorption is caused by H-bonding between the  $\text{H}_2\text{O}$  species and the adsorption site ( $\text{O}_1$ ). The  $\text{O}_1 \cdots \text{H}-\text{O}$  bond has a length of  $2.331 \text{ Å}$ , and is nonlinear with a bond angle of  $127.6^\circ$ .

When going to the electronic properties (see Table 4), it is observed that charge on the  $\text{O}_1$  site is nega-

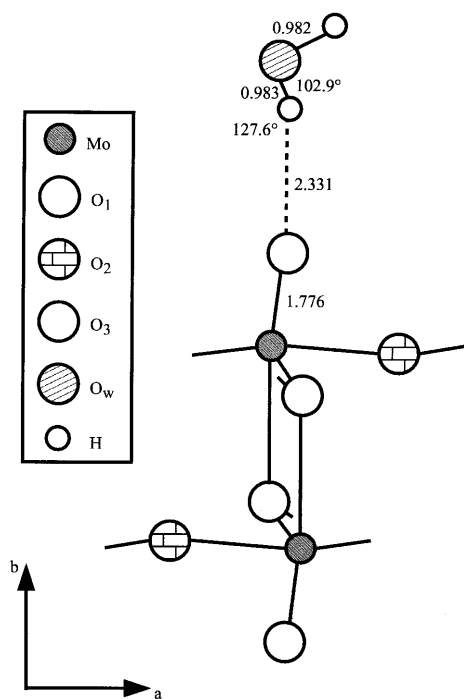


**Fig. 4** Equilibrium interatomic distances (Å) and bond angles (deg) concerning the  $\text{H}_2\text{O}$  adsorption on the terminal oxygen(s) of  $\text{MoO}_3(010)$  surface of model C with the orientations of (a) on-top and (b) bridging.  $\text{O}_w$  denotes the oxygen atom of the  $\text{H}_2\text{O}$  species

**Table 4** Mulliken atomic charges and adsorption energies with respect to the  $\text{H}_2\text{O}$  adsorption on the terminal oxygen of the  $\text{MoO}_3(010)$  surface

Orientations	Model A	Model B		Model C	
	On-top	On-top	Bridging	On-top	Bridging
$q_{\text{H}}$	+0.298	+0.285	+0.295	+0.289	+0.284
$q_{\text{H}^a}$	+0.259	+0.283	+0.295	+0.251	+0.285
$q_{\text{O}}$	-0.526	-0.537	-0.446	-0.451	-0.493
$q(\text{H}_2\text{O})$	+0.031	+0.031	+0.144	+0.089	+0.076
$q_{\text{O}_1}$	-0.382	-0.440	-0.472	-0.446	-0.397
$\Delta q_{\text{O}_1}$	-0.050	-0.108	-0.140	-0.114	-0.065
$\Delta q_{\text{Mo}(-\text{O}_1)}$	+0.018	+0.055	+0.049	+0.113	+0.089
			+0.038		+0.085
$E_{\text{ads}}$ (kcal mol $^{-1}$ )	-6.83	-5.45	-3.44	-3.53	-5.52

<sup>a</sup> This contributes to H-bonding only for bridging adsorption



**Fig. 5** Equilibrium interatomic distances (Å) and bond angles (deg) with respect to the H<sub>2</sub>O adsorption on the terminal oxygen of MoO<sub>3</sub>(010) surface of model A. O<sub>w</sub> represents the oxygen atom of the H<sub>2</sub>O species

**Table 5** Selected interatomic distances (Å) and bond angles (degree) with respect to the H<sub>2</sub>O adsorption on the terminal oxygen of the MoO<sub>3</sub>(010) surface

Orientations	Model A	Model B		Model C	
	On-top	On-top	Bridging	On-top	Bridging
<i>d</i> O–H (Å)	0.983	0.982	0.983	0.982	0.982
<i>d</i> O–H <sup>a</sup> (Å)	0.982	0.981	0.983	0.980	0.982
<i>d</i> O <sub>1</sub> ...H (Å)	2.331	2.289	2.019	2.251	2.447
			1.934		2.542
			1.782		1.778
<i>d</i> Mo–O <sub>1</sub> (Å)	1.776	1.777	1.782	1.780	1.778
			1.782		1.778
∠H–O–H (deg)	102.9	102.7	104.3	103.5	102.6
∠O <sub>1</sub> ...H–O (deg)	127.6	160.7	165.4	170.8	109.0
			165.0		108.0

<sup>a</sup> This contributes to H-bonding only for bridging adsorption

tively increased by 0.050 compared with that of the stoichiometric surface, and the adsorbate species becomes positively charged. This means that the adsorbed water species donates 0.031 electrons to the surface, less than the increased charge on the O<sub>1</sub> site. This phenomenon clearly indicates that 0.019 electrons are donated to the O<sub>1</sub> site by other surface atoms. Our previous study [22] showed that the H-bond formed in a water dimer is nonlinear, and it is formed by electron donation from lone-pair electrons of the oxygen of one water molecule to the O–H antibonding orbital of another water molecule in the dimer. An increase in the negative charge on the

O<sub>1</sub> site and corresponding increase in the positive charge on the H<sub>2</sub>O species show that the nature of the H-bonding present in this system is different from that of the water dimer, which is very similar to the case of H<sub>2</sub>O adsorption on V<sub>2</sub>O<sub>5</sub>(010). [22] As a matter of fact, there might be a donation of lone pair of electrons from the O<sub>1</sub> site to the water molecule, as in the case of the water dimer, but the net result shows that this donation is rather weak and it is dominated by the back-donation of the O–H bonding electrons from the water species to the O<sub>1</sub> site. At the same time, the positive charge on the molybdenum atom bound to the O<sub>1</sub> site is increased by 0.018, which is almost identical to the difference of the increased charges between the water species and the adsorption site O<sub>1</sub>. The other molybdenum atoms on the surface essentially retain the same charges as on the clean surface. This suggests that there should be a charge flow from the Mo to the O site that happens only when there is a donation of electrons from the surface oxygen to the water species. All such processes result in the weakening of the O–H and Mo–O<sub>1</sub> bonds and they are elongated accordingly.

### Model B

*On-top adsorption.* So far, the adsorption at 1 monolayer coverage has been investigated. To study the adsorption at 0.5 monolayer coverage and the effect of the local environment of the adsorption site on the adsorption, models B and C are used here. The distance between the two O<sub>1</sub> sites in model B is 4.039 Å, while that in model C is 3.738 Å. This difference may lead to different adsorption properties. Using the two models, adsorption states of H<sub>2</sub>O with on-top and bridging orientations were examined.

When a water molecule is approaching the O<sub>1</sub> site, molecular adsorption takes place. The adsorption energy is calculated to be  $-5.45$  kcal mol<sup>-1</sup>, and the equilibrium geometry is illustrated in Fig 3a. The distance between the adsorption site and the H atom of the H<sub>2</sub>O is found to be 2.289 Å, and the length of the Mo–O<sub>1</sub> bond is 1.777 Å, which is relaxed outward along the normal to the surface by 0.015 Å due to the adsorption (Table 5). The newly formed O<sub>1</sub>...H–O bond is nonlinear with a bond angle of 160.7°. The other related structural parameters are also given in Table 5. Similar to the former case, the H<sub>2</sub>O species takes the electronic charge of +0.031, indicating electron flow from the adsorbate to the substrate. The charge transferred from the adsorbate is accumulated at the adsorption site. Here also the increased charge on the adsorption site is much larger than that transferred from the adsorbate. It is observed that the Mo atom connected with the adsorption site increases its charge positively by 0.055, which demonstrates the electron flow from the Mo atom to the adsorption site. In addition, the ionicity of the O<sub>1</sub> and Mo in the O<sub>1</sub>–Mo group and the H-bond angle here are larger than those in model A.

*Bridging adsorption.* When water molecule orients over the two  $O_1$  sites of model B, molecular adsorption occurs. The adsorption energy is found to be  $-3.44$  kcal mol $^{-1}$ , which shows the possibility and stability of the adsorption. As shown in Fig 3b, two H-bonds are formed through the  $O_1$  sites and two O–H bonds of the  $H_2O$  species. The two H-bonds are calculated to have slightly different lengths of 2.019 and 1.934 Å, respectively, and the Mo– $O_1$  bonds are elongated by 0.020 Å due to the interaction with the adsorbate. The bond angle  $\angle H-O-H$  in the adsorbed  $H_2O$  species is found to be  $104.3^\circ$ , larger than in the former two cases. The bond angles of the  $\angle O_1 \cdots H-O$  are calculated to be  $165.4$  and  $165.0^\circ$ , respectively. The two O–H bonds of the  $H_2O$  species are observed to be 0.983 Å, as shown in Fig 3b and Table 5.

When observing the electronic properties (Table 4), charges on the H atoms are both +0.295, while the charge on the O atom of the  $H_2O$  species is  $-0.446$ . This demonstrates that the  $H_2O$  species is polarized with a net charge of +0.144, which is similar to the former adsorption cases. This indicates that the  $H_2O$  species donates 0.144 electrons to the substrate. Each of the two adsorption sites of the  $O_1$  atoms are observed to accumulate extra electrons of ca. 0.14, and the total charge increase on the two sites due to the adsorption is 0.275, larger than that donated from the  $H_2O$  species by 0.131. Two Mo atoms directly connected to the adsorption sites lose their charge totally by 0.087, which implies that 0.044 (0.131–0.087) electrons must be transferred from other surface atoms. This clearly shows that there must be an electron flow within the substrate plane, otherwise this phenomenon could not happen. This process may take place only when the electron donation from the sites to the water species becomes possible, as discussed above.

### Model C

*On-top adsorption.*  $H_2O$  adsorption on top of the terminal oxygen  $O_1$  of model C is also energetically possible, since the adsorption energy is  $-3.53$  kcal mol $^{-1}$ . However, this adsorption is less stable than the corresponding adsorption in models A and B. The optimized geometry and selected structural parameters are shown in Fig 4a and Table 5, respectively. It is observed that the adsorption site moves away from the substrate plane by 0.018 Å induced by the adsorption. The interacting distance between the  $H_2O$  species and the  $O_1$  site is 2.251 Å, which is smaller than the on-top adsorption in models A and B. Similar to the former cases, the  $H_2O$  species is polarized and takes a positive charge of 0.089, while charge on the adsorption site is negatively increased by 0.114; accordingly the Mo atom bound to the adsorption site loses its electrons by 0.113. The newly formed H-bond of the  $O_1 \cdots H-O$  is nonlinear with a bond angle of  $170.8^\circ$ . It is found that the H-bond angle and ionicity of the adsorption site

( $O_1$ ) and its closest Mo are larger than those in models A and B.

*Bridging adsorption.* The distance between the two  $O_1$  sites of model C is 3.738 Å, smaller than that in model B. When two H atoms of a water molecule orient over the two  $O_1$  sites, adsorption takes place and the adsorption energy is calculated to be  $-5.52$  kcal mol $^{-1}$ , larger than the bridging adsorption in model B. The equilibrated structure of the adsorption system is shown in Fig 4b. Due to the adsorption, two H-bonds are formed between the adsorption sites and the adsorbate species. The two H-bonds are 2.447 and 2.542 Å, respectively, much longer than the corresponding bond lengths found above. Correspondingly, the two Mo– $O_1$  bonds are elongated by 0.016 Å. The bond angles of the  $\angle O_1 \cdots H-O$  are found to be  $109.0^\circ$  and  $108.0^\circ$ , smaller than the corresponding bond angles found above by ca.  $57^\circ$ . This seems to be related to the short distance between the two  $O_1$  sites. For the water species, the O–H bonds are 0.982 Å, and the angle of the  $\angle H-O-H$  is observed to be  $102.6^\circ$ , smaller than the corresponding angle in model B by  $1.7^\circ$ .

Similar to the adsorption in model B, the adsorbate and the substrate are polarized due to charge transfer. Again, it is observed that the adsorbed water donates 0.076 electrons to the surface, and the two adsorption sites accumulate 0.065 and 0.059 extra electrons, which is larger than the amount donated by the water species. This is caused mainly by the two Mo atoms closely connected to the adsorption sites, since they lose 0.089 and 0.085 electrons, respectively. This shows the redistribution of electrons on the (010) surface and the formation of H-bonds between the water species and the adsorption sites. The mechanism of forming the H-bonds here is also very similar to that in models A and B.

### Discussion of the $H_2O$ adsorption on the (010) surface

In order to evaluate the adsorption ability of the three distinct surface oxygens, a number of different initial orientations of the  $H_2O$  molecule on the surface have been taken into account, including on-top and bridging orientations over the  $O_2$  and  $O_3$  sites. With the geometry optimization involving the  $H_2O$  molecule and the adsorption site(s), it is observed that the water molecule shifts away from its initial position, and approaches the  $O_1$ . These phenomena clearly indicate that water adsorption on the  $MoO_3(010)$  surface prefers the  $O_1$  site rather than the  $O_2$  or  $O_3$ , which means that the terminal oxygen exhibits strong adsorption ability. This is probably due to the special local chemical environment of the  $O_2$  and  $O_3$  sites that are so close to the terminal oxygen, and to the H atom of the  $H_2O$  molecule, which interacts with the  $O_1$  more strongly than with the  $O_2$  or  $O_3$ , as clarified by H adsorption studies. [7, 9, 10, 11]

For the adsorption with the on-top mode on the terminal oxygen of models A, B and C, the adsorption energies increase in the order: A<B<C. Simultaneously, the ionicity of the adsorption site  $O_1$  and its closest Mo atom, and the H-bond angles also demonstrate the same trend, but the H-bond lengths exhibit the opposite ordering. Concerning the bridging adsorption in models B and C, the adsorption energies decrease in the order: B>C. At the same time, the ionicity of the adsorption site  $O_1$  and the H-bond angle show an identical order, while the H-bond lengths and ionicity of the Mo atom closely bound to the adsorption site show a completely different order. On the other hand, no matter whether the on-top or bridging adsorption modes is taken, it is always observed that the more the adsorption energy, the less the charge on the adsorption site  $O_1$  (see Table 4). This correlation suggests that the less the charge on the  $O_1$  site, the more the electron donation from the  $O_1$  site to the  $H_2O$  species should be, indicating that the electron donation plays a crucial role in the  $H_2O$  adsorption on the  $MoO_3(010)$  surface.

As described above, the H-bonds are formed in all the cases treated in the current study. The bond lengths of the  $O_1 \cdots H-O$  range from 1.934 to 2.542 Å, and they are nonlinear with bond angles between 108.0° and 170.8°. All angles of the  $\angle H-O-H$  of the adsorbate species in the adsorption systems are more or less decreased compared to the free water molecule due to the adsorption; this phenomenon is also found for the  $H_2O$  adsorption on  $V_2O_5(010)$  surface. [22] Through the present study, it is clearly observed that the nature of the H-bonding treated in this study is different from that of the water dimer. Here, there might be a donation of lone-pair electrons from the  $O_1$  site to the water species, as in the case of the water dimer, but the net results show that this donation is so weak that it is dominated by the back-donation of the O-H bonding electrons from the water species to the adsorption site. Therefore, the  $H_2O$  species are positively charged and the substrate becomes polarized. Charge flow also takes place from the Mo atom closest to the adsorption site to the  $O_1$  site. Such a redistribution of charges plays a very important role for adsorption systems, which has been confirmed by a number of studies. [22, 23, 33, 34, 35] All such processes result in the weakening of the O-H and Mo- $O_1$  bonds and accordingly they are elongated (see Table 5). However, the donation from the surface oxygen to the water molecule is less compared to the back-donation, as the surface oxygen  $O_1$  is connected to the transition metal atom (Mo) and has less negative charge.

## Conclusions

We have investigated structure and electronic properties of  $MoO_3$  bulk and (010) surface as well as  $H_2O$  adsorption at 1 and 0.5 monolayer coverages on the surface using periodic boundary DFT calculations. Our calculated

lattice constants of  $MoO_3$  bulk are in good agreement with experimental results, and various bond lengths obtained for the Mo-O are also very close to experimental values. The terminal oxygen is found to be the least ionic among the three kinds of lattice oxygens. The bond lengths and atomic charges on the atoms of  $MoO_3(010)$  surface are calculated to be very close to those in the bulk. This study suggests that the structural and electronic properties of the (010) surface should be very similar to those of the bulk, in agreement with experimental findings.

In the present study it is found that the molecular adsorption hardly takes place on the asymmetric and symmetric oxygens, no matter whether the on-top or bridging modes are taken. The terminal oxygen is the only active site for water adsorption on the (010) surface. Energetically the most favored adsorption orientation for a water molecule on the surface is the on-top of the terminal oxygen at 1 monolayer coverage. The driving force of the molecular adsorption is observed to be hydrogen bonding formed between the adsorption site (i.e. the terminal oxygen) and the O-H group of the water species, and the donation of electrons from the surface oxygen site to the adsorbate is the dominant contributor. Due to the electron donation and back-donation between the water species and the (010) surface, both the adsorbate and the substrate become polarized.

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